Summary of common interpretations of quantum mechanics

Classification adopted by Einstein

An interpretation (i.e. a <u>semantic explanation</u> of the formal mathematics of quantum mechanics) can be characterized by its treatment of certain matters addressed by Einstein, such as:

- Realism
- Completeness
- Local realism
- <u>Determinism</u>

To explain these properties, we need to be more explicit about the kind of picture an interpretation provides. To that end we will regard an interpretation as a correspondence between the elements of the mathematical formalism \mathbf{M} and the elements of an interpreting structure \mathbf{I} , where:

- The *mathematical formalism* **M** consists of the Hilbert space machinery of <u>ket-vectors</u>, <u>self-adjoint operators</u> acting on the space of ket-vectors, unitary time dependence of the ket-vectors, and measurement operations. In this context a measurement operation is a transformation which turns a ket-vector into a probability distribution (for a formalization of this concept see <u>quantum operations</u>).
- The *interpreting structure* **I** includes states, transitions between states, measurement operations, and possibly information about spatial extension of these elements. A measurement operation refers to an operation which returns a value and might result in a system state change. Spatial information would be exhibited by states represented as functions on configuration space. The transitions may be <u>non-deterministic</u> or probabilistic or there may be infinitely many states.

The crucial aspect of an interpretation is whether the elements of **I** are regarded as physically real. Hence the bare instrumentalist view of quantum mechanics outlined in the previous section is not an interpretation at all, for it makes no claims about elements of physical reality.

The current usage of realism and completeness originated in the 1935 paper in which Einstein and others proposed the EPR paradox.[12] In that paper the authors proposed the concepts *element of reality* and the *completeness of a physical theory*. They characterised element of reality as a quantity whose value can be predicted with certainty before measuring or otherwise disturbing it, and defined a complete physical theory as one in which every element of physical reality is accounted for by the theory. In a semantic view of interpretation, an interpretation is complete if every element of the interpreting structure is present in the mathematics. Realism is also a property of each of the elements of the maths; an element is real if it corresponds to something in the interpreting structure. For example, in some interpretations of quantum mechanics (such as the many-worlds interpretation) the ket vector associated to the system state is said to correspond to an element of physical reality, while in other interpretations it is not.

Determinism is a property characterizing state changes due to the passage of time, namely that the state at a future instant is a <u>function</u> of the state in the present (see <u>time evolution</u>). It may not always be clear whether a particular interpretation is deterministic or not, as there may not be a clear choice of a time parameter. Moreover, a given theory may have two interpretations, one of which is deterministic and the other not.

Local realism has two aspects:

- The value returned by a measurement corresponds to the value of some function in the state space. In other words, that value is an element of reality;
- The effects of measurement have a propagation speed not exceeding some universal limit (e.g. the speed of light). In order for this to make sense, measurement operations in the interpreting structure must be localized.

A precise formulation of local realism in terms of a <u>local hidden variable theory</u> was proposed by <u>John Bell</u>.

<u>Bell's theorem</u>, combined with experimental testing, restricts the kinds of properties a quantum theory can have, the primary implication being that quantum mechanics cannot satisfy both the <u>principle of locality</u> and <u>counterfactual definiteness</u>.

The Copenhagen interpretation

Main article: Copenhagen interpretation

The <u>Copenhagen interpretation</u> is the "standard" interpretation of quantum mechanics formulated by <u>Niels Bohr</u> and <u>Werner Heisenberg</u> while collaborating in Copenhagen around 1927. Bohr and Heisenberg extended the probabilistic interpretation of the wavefunction proposed originally by Max Born. The Copenhagen interpretation rejects questions like "where was the particle before I measured its position?" as meaningless. The measurement process randomly picks out exactly one of the many possibilities allowed for by the state's wave function in a manner consistent with the well-defined probabilities that are assigned to each possible state. According to the interpretation, the interaction of an observer or apparatus that is external to the quantum system is the cause of wave function collapse, thus according to <u>Paul Davies</u>, "reality is in the observations, not in the electron".[13] What collapses in this interpretation is the knowledge of the observer and not an "objective" wavefunction.

Many worlds

Main article: Many-worlds interpretation

The <u>many-worlds interpretation</u> is an interpretation of quantum mechanics in which a <u>universal</u> <u>wavefunction</u> obeys the same deterministic, <u>reversible</u> laws at all times; in particular there is no (indeterministic and <u>irreversible</u>) <u>wavefunction collapse</u> associated with measurement. The phenomena associated with measurement are claimed to be explained by <u>decoherence</u>, which occurs when states interact with the environment producing <u>entanglement</u>, repeatedly "splitting" the

universe into mutually unobservable <u>alternate histories</u>—effectively distinct universes within a greater <u>multiverse</u>. In this interpretation the wavefunction has objective reality.

Consistent histories

Main article: Consistent histories

The <u>consistent histories</u> interpretation generalizes the conventional Copenhagen interpretation and attempts to provide a natural interpretation of <u>quantum cosmology</u>. The theory is based on a consistency criterion that allows the history of a system to be described so that the probabilities for each history obey the additive rules of classical probability. It is claimed to be <u>consistent</u> with the <u>Schrödinger equation</u>.

According to this interpretation, the purpose of a quantum-mechanical theory is to predict the relative probabilities of various alternative histories (for example, of a particle).

Ensemble interpretation, or statistical interpretation

Main article: Ensemble interpretation

The <u>ensemble interpretation</u>, also called the statistical interpretation, can be viewed as a minimalist interpretation. That is, it claims to make the fewest assumptions associated with the standard mathematics. It takes the statistical interpretation of Born to the fullest extent. The interpretation states that the wave function does not apply to an individual system – for example, a single particle – but is an abstract statistical quantity that only applies to an ensemble (a vast multitude) of similarly prepared systems or particles. Probably the most notable supporter of such an interpretation was Einstein:

The attempt to conceive the quantum-theoretical description as the complete description of the individual systems leads to unnatural theoretical interpretations, which become immediately unnecessary if one accepts the interpretation that the description refers to ensembles of systems and not to individual systems.

— Einstein in Albert Einstein: Philosopher-Scientist, ed. P.A. Schilpp (Harper & Row, New York)

The most prominent current advocate of the ensemble interpretation is Leslie E. Ballentine, professor at Simon Fraser University, author of the graduate level text book *Quantum Mechanics*, *A Modern Development*. An experiment illustrating the ensemble interpretation is provided in Akira Tonomura's Video clip 1.[14] It is evident from this <u>double-slit experiment</u> with an ensemble of individual electrons that, since the quantum mechanical wave function (absolutely squared) describes the *completed* interference pattern, it must describe an ensemble. A new version of the ensemble interpretation that relies on a reformulation of probability theory was introduced by Raed Shaiia.[15][16]

De Broglie-Bohm theory

Main article: De Broglie-Bohm theory

The <u>de Broglie–Bohm theory</u> of quantum mechanics is a theory by <u>Louis de Broglie</u> and extended later by <u>David Bohm</u> to include measurements. Particles, which always have positions, are guided by the wavefunction. The wavefunction evolves according to the <u>Schrödinger wave equation</u>, and the wavefunction never collapses. The theory takes place in a single space-time, is <u>non-local</u>, and is deterministic. The simultaneous determination of a particle's position and velocity is subject to the usual <u>uncertainty principle</u> constraint. The theory is considered to be a <u>hidden variable theory</u>, and by embracing non-locality it satisfies Bell's inequality. The <u>measurement problem</u> is resolved, since the particles have definite positions at all times.[17] Collapse is explained as <u>phenomenological</u>.[18]

Relational quantum mechanics

Main article: Relational quantum mechanics

The essential idea behind relational quantum mechanics, following the precedent of special relativity, is that different observers may give different accounts of the same series of events: for example, to one observer at a given point in time, a system may be in a single, "collapsed" eigenstate, while to another observer at the same time, it may be in a superposition of two or more states. Consequently, if quantum mechanics is to be a complete theory, relational quantum mechanics argues that the notion of "state" describes not the observed system itself, but the relationship, or correlation, between the system and its observer(s). The state vector of conventional quantum mechanics becomes a description of the correlation of some degrees of freedom in the observer, with respect to the observed system. However, it is held by relational quantum mechanics that this applies to all physical objects, whether or not they are conscious or macroscopic. Any "measurement event" is seen simply as an ordinary physical interaction, an establishment of the sort of correlation discussed above. Thus the physical content of the theory has to do not with objects themselves, but the relations between them.[19][20]

An independent <u>relational approach to quantum mechanics</u> was developed in analogy with David Bohm's elucidation of special relativity,[21] in which a detection event is regarded as establishing a relationship between the quantized field and the detector. The inherent ambiguity associated with applying Heisenberg's uncertainty principle is subsequently avoided.[22]

Transactional interpretation

Main article: <u>Transactional interpretation</u>

The <u>transactional interpretation</u> of quantum mechanics (TIQM) by <u>John G. Cramer</u> is an interpretation of quantum mechanics inspired by the <u>Wheeler–Feynman absorber theory</u>.[23] It describes a quantum interaction in terms of a standing wave formed by the sum of a retarded (forward-in-time) and an advanced (backward-in-time) wave. The author argues that it avoids the philosophical problems with the Copenhagen interpretation and the role of the observer, and resolves various quantum paradoxes.

Stochastic mechanics

Main article: Stochastic interpretation

An entirely classical derivation and interpretation of Schrödinger's wave equation by analogy with Brownian motion was suggested by Princeton University professor Edward Nelson in 1966.[24] Similar considerations had previously been published, for example by R. Fürth (1933), I. Fényes (1952), and Walter Weizel (1953), and are referenced in Nelson's paper. More recent work on the stochastic interpretation has been done by M. Pavon.[25] An alternative stochastic interpretation[26] was developed by Roumen Tsekov.

Objective collapse theories

Main article: Objective collapse theory

Objective collapse theories differ from the <u>Copenhagen interpretation</u> in regarding both the wavefunction and the process of collapse as ontologically objective. In objective theories, collapse occurs randomly ("spontaneous localization"), or when some physical threshold is reached, with observers having no special role. Thus, they are realistic, indeterministic, no-hidden-variables theories. The mechanism of collapse is not specified by standard quantum mechanics, which needs to be extended if this approach is correct, meaning that Objective Collapse is more of a theory than an interpretation. Examples include the <u>Ghirardi-Rimini-Weber theory[27]</u> and the <u>Penrose interpretation.[28]</u>

Consciousness causes collapse

Main article: Consciousness causes collapse

In his treatise *The Mathematical Foundations of Quantum Mechanics*, <u>John von Neumann</u> deeply analyzed the so-called <u>measurement problem</u>. He concluded that the entire physical universe could be made subject to the Schrödinger equation (the universal wave function). He also described how measurement could cause a collapse of the wave function. [29] This point of view was prominently expanded on by <u>Eugene Wigner</u>, who argued that human experimenter consciousness (or maybe even dog consciousness) was critical for the collapse, but he later abandoned this interpretation. [30]

Variations of the consciousness causes collapse interpretation include:

Subjective reduction research

This principle, that consciousness causes the collapse, is the point of intersection between quantum mechanics and the mind/body problem; and researchers are working to detect conscious events correlated with physical events that, according to quantum theory, should involve a wave function collapse; but, thus far, results are inconclusive. [32][33]

Participatory anthropic principle (PAP)

Main article: <u>Anthropic principle</u>

<u>John Archibald Wheeler</u>'s participatory anthropic principle says that consciousness plays some role in bringing the universe into existence.[34]

Other physicists have elaborated their own variations of the consciousness causes collapse interpretation; including:

- Henry P. Stapp (Mindful Universe: Quantum Mechanics and the Participating Observer)
- Bruce Rosenblum and Fred Kuttner (*Quantum Enigma: Physics Encounters Consciousness*)
- Amit Goswami (*The Self-Aware Universe*)

Many minds

Main article: Many-minds interpretation

The many-minds interpretation of <u>quantum mechanics</u> extends the <u>many-worlds interpretation</u> by proposing that the distinction between worlds should be made at the level of the mind of an individual observer.

Quantum logic

Main article: **Quantum logic**

Quantum logic can be regarded as a kind of propositional logic suitable for understanding the apparent anomalies regarding quantum measurement, most notably those concerning composition of measurement operations of complementary variables. This research area and its name originated in the 1936 paper by Garrett Birkhoff and John von Neumann, who attempted to reconcile some of the apparent inconsistencies of classical boolean logic with the facts related to measurement and observation in quantum mechanics.

Quantum information theories

Quantum informational approaches[35] have attracted growing support.[36][5] They subdivide into two kinds[37]

- Information ontologies, such as J. A. Wheeler's "<u>it from bit</u>". These approaches have been described as a revival of <u>immaterialism[38]</u>
- Interpretations where quantum mechanics is said to describe an observer's knowledge of the world, rather than the world itself. This approach has some similarity with Bohr's thinking.
 [39] Collapse (also known as reduction) is often interpreted as an observer acquiring information from a measurement, rather than as an objective event. These approaches have been appraised as similar to <u>instrumentalism</u>.

The state is not an objective property of an individual system but is that information, obtained from a knowledge of how a system was prepared, which can be used for making predictions about future measurements. ... A quantum mechanical state being a summary of the observer's information about an individual physical system changes both by dynamical laws, and whenever the observer acquires new information about the system through the process of measurement. The existence of two laws for the evolution of the state vector... becomes problematical only if it is believed that the state vector is an objective property of the system... The "reduction of the wavepacket" does take place in the consciousness of the observer, not because of any unique physical process which takes place there, but only because the state is a construct of the observer and not an objective property of the physical system [40]

Modal interpretations of quantum theory

Modal interpretations of quantum mechanics were first conceived of in 1972 by B. van Fraassen, in his paper "A formal approach to the philosophy of science." However, this term now is used to describe a larger set of models that grew out of this approach. The <u>Stanford Encyclopedia of Philosophy</u> describes several versions:[41]

- The Copenhagen variant
- Kochen-<u>Dieks</u>-Healey Interpretations
- Motivating Early Modal Interpretations, based on the work of R. Clifton, M. Dickson and J. Bub.

Time-symmetric theories

Several theories have been proposed which modify the equations of quantum mechanics to be symmetric with respect to time reversal. [42][43][44][45][46][47] (E.g. see Wheeler-Feynman time-symmetric theory). This creates retrocausality: events in the future can affect ones in the past, exactly as events in the past can affect ones in the future. In these theories, a single measurement cannot fully determine the state of a system (making them a type of hidden variables theory), but given two measurements performed at different times, it is possible to calculate the exact state of the system at all intermediate times. The collapse of the wavefunction is therefore not a physical change to the system, just a change in our knowledge of it due to the second measurement. Similarly, they explain entanglement as not being a true physical state but just an illusion created by ignoring retrocausality. The point where two particles appear to "become entangled" is simply a point where each particle is being influenced by events that occur to the other particle in the future.

Not all advocates of time-symmetric causality favour modifying the unitary dynamics of standard quantum mechanics. Thus a leading exponent of the two-state vector formalism, Lev Vaidman, highlights how well the two-state vector formalism dovetails with Hugh Everett's many-worlds interpretation.[48]

Branching space-time theories

BST theories resemble the many worlds interpretation; however, "the main difference is that the BST interpretation takes the branching of history to be a feature of the topology of the set of events with their causal relationships... rather than a consequence of the separate evolution of different components of a state vector."[49] In MWI, it is the wave functions that branches, whereas in BST, the space-time topology itself branches. BST has applications to Bell's theorem, quantum computation and quantum gravity. It also has some resemblance to hidden variable theories and the ensemble interpretation: particles in BST have multiple well defined trajectories at the microscopic level. These can only be treated stochastically at a coarse grained level, in line with the ensemble interpretation.[49]

Other interpretations

Main article: Minority interpretations of quantum mechanics

As well as the mainstream interpretations discussed above, a number of other interpretations have been proposed which have not made a significant scientific impact for whatever reason. These range from proposals by mainstream physicists to the more <u>occult</u> ideas of <u>quantum mysticism</u>.

Comparison of interpretations

The most common interpretations are summarized in the table below. The values shown in the cells of the table are not without controversy, for the precise meanings of some of the concepts involved are unclear and, in fact, are themselves at the center of the controversy surrounding the given interpretation. For another table comparing interpretations of quantum theory, see reference. [50]

No experimental evidence exists that distinguishes among these interpretations. To that extent, the physical theory stands, and is consistent with itself and with reality; difficulties arise only when one attempts to "interpret" the theory. Nevertheless, designing experiments which would test the various interpretations is the subject of active research.

Most of these interpretations have variants. For example, it is difficult to get a precise definition of the Copenhagen interpretation as it was developed and argued about by many people.

Interpretation	Author(s)	Deterministic ?	Wavefunction real?	Unique history?	Hidden variables ?	Collapsing wavefunctions ?	Obs ro
Ensemble interpretation	Max Born, 1926	Agnostic	No	Yes	Agnostic	No	1
Copenhagen interpretation	Niels Bohr, Werner Heisenberg, 1927	No	No <u>1</u>	Yes	No	Yes <u>2</u>	Ca
de Broglie– Bohm theory	Louis de Broglie, 1927, David Bohm, 1952	Yes	Yes <u>3</u>	Yes <u>4</u>	Yes	No	1
Quantum logic	<u>Garrett</u> <u>Birkhoff,</u> 1936	Agnostic	Agnostic	Yes <u>5</u>	No	No	Interpre
Time-symmetric theories	<u>Satosi</u> <u>Watanabe,</u> 1955	Yes	Yes	Yes	Yes	No	ľ
Many-worlds interpretation	Hugh Everett, 1957	Yes	Yes	No	No	No	1
Consciousness causes collapse	Eugene Wigner, 1961	No	Yes	Yes	No	Yes	Ca
Stochastic interpretation	Edward Nelson, 1966	No	No	Yes	Yes <u>14</u>	No	1
Many-minds interpretation	H. Dieter Zeh, 1970	Yes	Yes	No	No	No	Interpre
<u>Consistent</u>	Robert B.	No	No	No	No	No	ľ

Interpretation	Author(s)	Deterministic ?	Wavefunction real?	Unique history?	Hidden variables ?	Collapsing wavefunctions ?	Obs ro
<u>histories</u>	<u>Griffiths,</u> 1984						
Transactional interpretation	John G. Cramer, 1986	No	Yes	Yes	No	Yes <u>8</u>	1
Objective collapse theories	Ghirardi— Rimini— Weber, 1986, Penrose interpretation, 1989	No	Yes	Yes	No	Yes	Ī
Relational interpretation	Carlo Rovelli, 1994	Agnostic	No	Agnostic <u>9</u>	No	Yes <u>10</u>	Intri
<u>QBism</u>	Christopher Fuchs, Ruediger Schack, 2010	No	No <u>16</u>	Agnostic <u>17</u>	No	Yes <u>18</u>	Intrii

- ¹ According to Bohr, the concept of a physical state independent of the conditions of its
 experimental observation does not have a well-defined meaning. According to Heisenberg
 the wavefunction represents a probability, but not an objective reality itself in space and
 time.
- ² According to the Copenhagen interpretation, the wavefunction collapses when a measurement is performed.
- ³ Both particle AND guiding wavefunction are real.
- ⁴ Unique particle history, but multiple wave histories.
- ⁵ But quantum logic is more limited in applicability than Coherent Histories.
- ⁶ Quantum mechanics is regarded as a way of predicting observations, or a theory of measurement.
- ⁷ Observers separate the universal wavefunction into orthogonal sets of experiences.
- ⁸ In the TI the collapse of the state vector is interpreted as the completion of the transaction between emitter and absorber.
- ⁹ Comparing histories between systems in this interpretation has no well-defined meaning.
- 10 Any physical interaction is treated as a collapse event relative to the systems involved, not just macroscopic or conscious observers.
- ¹¹ The state of the system is observer-dependent, i.e., the state is specific to the reference frame of the observer.
- 12 The transactional interpretation is explicitly non-local.
- ¹³ The assumption of intrinsic periodicity is an element of non-locality consistent with relativity as the periodicity varies in a causal way.
- 14 In the stochastic interpretation is not possible to define velocities for particles, i.e. the paths are not smooth. Moreover, to know the motion of the particles at any moment, you

have to know what the Markov process is. However, once we know the exactly initial conditions and the Markov process, the theory is in fact a realistic interpretation of quantum mechanics.

- ¹⁵ The kind of non-locality required by the theory, sufficient to violate the Bell inequalities, is weaker than that assumed in EPR. In particular, this kind non-locality is compatible with no signaling theorem and Lorentz invariance.
- ¹⁶ A wavefunction merely encodes an agent's expectations for future experiences. It is no more real than a probability distribution is in <u>subjective Bayesianism</u>.
- ¹⁷ Quantum theory is a tool any agent may use to help manage their expectations. The past comes into play only insofar as an agent's individual experiences and temperament influence their priors.
- ¹⁸ Although QBism would eschew this terminology. A change in the wavefunction that an agent ascribes to a system as a result of having an experience represents a change in his or her beliefs about further experiences they may have. See <u>Doxastic logic</u>.
- ¹⁹ Observers, or more properly, participants, are as essential to the formalism as the systems they interact with.

See also

- Afshar experiment
- Bohr–Einstein debates
- Glossary of quantum philosophy
- Macroscopic quantum phenomena
- Path integral formulation
- Philosophical interpretation of classical physics
- Quantum gravity
- Quantum Zeno effect

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